

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

2/E JCB
APR 22 2004
PATENT & TRADEMARK OFFICE

Serial No. : 10/085,570
Applicants : Satoru SHOSHI et al.
Filed : February 27, 2002
For : FILM FOR OPTICAL APPLICATIONS
Art Unit : 2872
Examiner : Joshua L. Pritchett
Docket No. : 02124/HG
Customer No.: 01933
Confirmation No.: B957

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dorothy DeFrancesco
Dorothy DeFrancesco

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No. 06-1378.

INFORMATION DISCLOSURE STATEMENT

11/2004 TLAWRENC (R) 00000001 061378 10085570

FC:1806

Submitted concomitantly herewith are the following:

- (1) Copies of publications cited during the prosecution of
copending related application Serial No. 10/134,779 filed
April 29, 2002 (Examiner Boss; Art Unit 1775);
- (2) Copy of US 2002/0187324, which is the published
application of Serial No. 10/134,779;
- (3) Copies of the publications cited during the prosecution
of copending related application Serial No. 10/278,273 filed
October 23, 2002 (Examiner Ferguson; Art Unit 1774);
- (4) Copy of US 2003/0104188, which is the published
application of Serial No. 10/278,273; and

Adjustment date: 11/29/2004 SDIRETA1
08/11/2004 TLAWRENC 00000001 061378 10085570
01 FC:1806 180.00 CR

DIVISION

Attorney Docket No. 02124/HG

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Satoru SHOSHI et al

Serial No. : 10/085,570

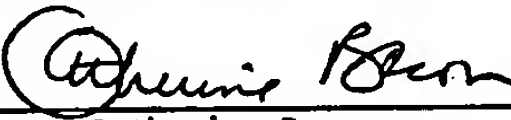
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For : FILM FOR OPTICAL
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Dated: October 6, 2004

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S I R :

Attached hereto is a highlighted copy of our August 2004 Monthly Statement of Deposit Account 06-1378. The \$180 fee, charged on August 11, 2004 (Control No. 1, Fee Code 1806) for an Information Disclosure Statement, is respectfully submitted to be in error for the following reason.

On April 22, 2004, an Information Disclosure Statement was filed concomitantly with a Request For Continued Examination (copies of the first page of said Information Disclosure Statement, the Request for Continued Examination, and the returned postcard are enclosed). The required fee accompanied the Request for Continued Examination, but, no fee was required

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for the "submission" of the Information Disclosure Statement
according to 37 CFR 1.114(c).

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Therefore, it is respectfully requested that the Patent Office credit the \$180, charged in error on August 11, 2004, to the undersigned's Deposit Account 06-1378.

Respectfully submitted,



Richard S. Barth
Reg. No. 28,180

Frishauf, Holtz, Goodman & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
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RSB:cb



UNITED STATES
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25TH FLOOR
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8	4	04	53	09777437	01051/LH	1806	-180.00	4608.96
8	6	04	24	10254222	00068C/LH	1806	180.00	4428.96
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8	13	04	11	10465461	02345C2/HG	1201	86.00	2570.96
8	19	04	24	PCT/US04/26233	02624PCT/DH	1702	396.00	2174.96
8	19	04	26	PCT/US04/26233	02624PCT/DH	1703	204.00	1970.96
8	20	04	56	10856890	04342/LH	8021	40.00	1930.96
8	23	04	12	10637348	03475/LH	1460	-130.00	2060.96
8	24	04	1	76484947	03017/RPM	6004	150.00	1910.96
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Request
For
Continued Examination (RCE)
Transmittal

Address for:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number: 10/085,570
Filing Date: February 27, 2002
First Named Inventor: Satoru SHOSHI
Art Unit: 2872
Examiner Name: J.L. Pritchett
Attorney Docket Number: 02124/HG

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or patent application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unexamined amendments and arguments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unexamined amendment(s) entered, applicant must request non-entry of such amendment(s).
☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
☐ Other _____
☒ Enclosed
i. ☐ Amendment/Reply ii. ☒ Information Disclosure Statement (IDS)
iii. ☐ Other _____
iv. ☐ Affidavit Declaration(s)

2. Miscellaneous

☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.117) required.
☐ Other _____

3. Fees

☒ The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
To the extent not tendered by check, authorization is given to charge any additional fees, or to credit any overpayment, to Deposit Account 06-1378. A duplicate of this letter is enclosed.
☒ RCE fee required under 37 CFR 1.17(e) is enclosed.
☐ Extension of time fee (37 CFR 1.129 and 1.17)
☐ Other _____
☒ Check in the amount of \$ 770 is enclosed
☐ Payment by credit card (from PTO-2028 enclosed)
WARRANTY: Information on this form may become public. Credit card information is included on this form. Provide credit card information and authorization to release information on this form. Provide credit card information and authorization to release information on this form.

Name (Print/Type) Richard S. Barth
Signature Richard S. Barth
Signature of Applicant, Attorney, or Agent
Signature of Representative of Applicant or Transmittal

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30-2003-287-23-085-00

898/ddc

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Commissioner for Patents
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Alexandria, VA 22313-1450

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US 2003/0104188, which is the published
Serial No. 10/278,273; and

RECEIPT ACKNOWLEDGED: REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL (IN DORE.1) INFORMATION DISCLOSURE STATEMENT, INCLUDING FORM PTO/SB/08A AND COPIES OF THE PUBLICATIONS IDENTIFIED THEREIN, CHECK FOR \$770 (CHECK NO. 94735).

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